



## Merredin – Compliance Audit Return

| Commercial Enterprises by Local Governments |                                     |                                                                                                                                                                                                                                                                   |          |          |
|---------------------------------------------|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|
| No                                          | Reference                           | Question                                                                                                                                                                                                                                                          | Response | Comments |
| 1                                           | s3.59(2)(a) F&G<br>Regs 7,9,10      | Has the local government prepared a business plan for each major trading undertaking that was not exempt in 2023?                                                                                                                                                 | N/A      |          |
| 2                                           | s3.59(2)(b) F&G<br>Regs 7,8A, 8, 10 | Has the local government prepared a business plan for each major land transaction that was not exempt in 2023?                                                                                                                                                    | N/A      |          |
| 3                                           | s3.59(2)(c) F&G<br>Regs 7,8A, 8,10  | Has the local government prepared a business plan before entering into each land transaction that was preparatory to entry into a major land transaction in 2023?                                                                                                 | N/A      |          |
| 4                                           | s3.59(4)                            | Has the local government complied with public notice and publishing requirements for each proposal to commence a major trading undertaking or enter into a major land transaction or a land transaction that is preparatory to a major land transaction for 2023? | N/A      |          |
| 5                                           | s3.59(5)                            | During 2022, did the council resolve to proceed with each major land transaction or trading undertaking by absolute majority?                                                                                                                                     | N/A      |          |

| Delegation of Power/Duty |                                   |                                                                                                                       |          |                                                                    |
|--------------------------|-----------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------|--------------------------------------------------------------------|
| No                       | Reference                         | Question                                                                                                              | Response | Comments                                                           |
| 1                        | s5.16 (1)                         | Were all delegations to committees resolved by absolute majority?                                                     | N/A      |                                                                    |
| 2                        | s5.16 (2)                         | Were all delegations to committees in writing?                                                                        | N/A      |                                                                    |
| 3                        | s5.17                             | Were all delegations to committees within the limits specified in section 5.17 of the Local Government Act 1995?      | N/A      |                                                                    |
| 4                        | s5.18                             | Were all delegations to committees recorded in a register of delegations?                                             | N/A      |                                                                    |
| 5                        | s5.18                             | Has council reviewed delegations to its committees in the 2022/2023 financial year?                                   | N/A      | The Shire of Merredin has not given any delegations to committees. |
| 6                        | s5.42(1) & s5.43<br>Admin Reg 18G | Did the powers and duties delegated to the CEO exclude those listed in section 5.43 of the Local Government Act 1995? | Yes      |                                                                    |



|           |                           |                                                                                                                                                                                                   |     |                                                                        |
|-----------|---------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|------------------------------------------------------------------------|
| <b>7</b>  | s5.42(1)                  | Were all delegations to the CEO resolved by an absolute majority?                                                                                                                                 | Yes |                                                                        |
| <b>8</b>  | s5.42(2)                  | Were all delegations to the CEO in writing?                                                                                                                                                       | Yes |                                                                        |
| <b>9</b>  | s5.44(2)                  | Were all delegations by the CEO to any employee in writing?                                                                                                                                       | Yes |                                                                        |
| <b>10</b> | s5.16(3)(b) & s5.45(1)(b) | Were all decisions by the Council to amend or revoke a delegation made by absolute majority?                                                                                                      | Yes |                                                                        |
| <b>11</b> | s5.46(1)                  | Has the CEO kept a register of all delegations made under Division 4 of the Act to the CEO and to employees?                                                                                      | Yes | Register is kept on the Attain system and put on the Shire website.    |
| <b>12</b> | s5.46(2)                  | Were all delegations made under Division 4 of the Act reviewed by the delegator at least once during the 2022/2023 financial year?                                                                | Yes |                                                                        |
| <b>13</b> | s5.46(3) Admin Reg 19     | Did all persons exercising a delegated power or duty under the Act keep, on all occasions, a written record in accordance with Local Government (Administration) Regulations 1996, regulation 19? | Yes | Records of all exercised delegations are kept using the Attain system. |

| Disclosure of Interest |                                   |                                                                                                                                                                                                                                                                                                       |          |                                                                                                                                                                                         |
|------------------------|-----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| No                     | Reference                         | Question                                                                                                                                                                                                                                                                                              | Response | Comments                                                                                                                                                                                |
| <b>1</b>               | s5.67                             | Where a council member disclosed an interest in a matter and did not have participation approval under sections 5.68 or 5.69 of the Local Government Act 1995, did the council member ensure that they did not remain present to participate in discussion or decision making relating to the matter? | Yes      | Confirming Councillors left the Chambers for items which did not have approval. CMRef 83101 28/02/2023, CMRef 83121 28/03/2023, CMRef 83212 22/08/2023, CMRef 83285 & 83299 11/12/2023. |
| <b>2</b>               | s5.68(2) & s5.69(5) Admin Reg 21A | Were all decisions regarding participation approval, including the extent of participation allowed and, where relevant, the information required by the Local Government (Administration) Regulations 1996 regulation 21A, recorded in the minutes of the relevant council or committee meeting?      | Yes      |                                                                                                                                                                                         |
| <b>3</b>               | s5.73                             | Were disclosures under sections 5.65, 5.70 or 5.71A(3) of the Local Government Act 1995 recorded in the minutes of the meeting at which the disclosures were made?                                                                                                                                    | Yes      |                                                                                                                                                                                         |
| <b>4</b>               | s5.75 Admin Reg 22, Form 2        | Was a primary return in the prescribed form lodged by all relevant persons within three months of their start day?                                                                                                                                                                                    | Yes      |                                                                                                                                                                                         |
| <b>5</b>               | s5.76 Admin Reg 23, Form 3        | Was an annual return in the prescribed form lodged by all relevant persons by 31 August 2022?                                                                                                                                                                                                         | Yes      |                                                                                                                                                                                         |



|           |                                       |                                                                                                                                                                                                                                                                              |     |                                                                                                                                                 |
|-----------|---------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>6</b>  | s5.77                                 | On receipt of a primary or annual return, did the CEO, or the Mayor/President, give written acknowledgment of having received the return?                                                                                                                                    | Yes |                                                                                                                                                 |
| <b>7</b>  | s5.88(1) & (2)(a)                     | Did the CEO keep a register of financial interests which contained the returns lodged under sections 5.75 and 5.76 of the Local Government Act 1995?                                                                                                                         | Yes |                                                                                                                                                 |
| <b>8</b>  | s5.88(1) & (2)(b)<br>Admin Reg 28     | Did the CEO keep a register of financial interests which contained a record of disclosures made under sections 5.65, 5.70, 5.71 and 5.71A of the Local Government Act 1995, in the form prescribed in the Local Government (Administration) Regulations 1996, regulation 28? | Yes |                                                                                                                                                 |
| <b>9</b>  | s5.88(3)                              | When a person ceased to be a person required to lodge a return under sections 5.75 and 5.76 of the Local Government Act 1995, did the CEO remove from the register all returns relating to that person?                                                                      | Yes |                                                                                                                                                 |
| <b>10</b> | s5.88(4)                              | Have all returns removed from the register in accordance with section 5.88(3) of the Local Government Act 1995 been kept for a period of at least five years after the person who lodged the return(s) ceased to be a person required to lodge a return?                     | Yes |                                                                                                                                                 |
| <b>11</b> | s5.89A(1), (2) & (3)<br>Admin Reg 28A | Did the CEO keep a register of gifts which contained a record of disclosures made under sections 5.87A and 5.87B of the Local Government Act 1995, in the form prescribed in the Local Government (Administration) Regulations 1996, regulation 28A?                         | Yes |                                                                                                                                                 |
| <b>12</b> | s5.89A(5) & (5A)                      | Did the CEO publish an up-to-date version of the gift register on the local government's website?                                                                                                                                                                            | Yes |                                                                                                                                                 |
| <b>13</b> | s5.89A(6)                             | When people cease to be a person who is required to make a disclosure under section 5.87A or 5.87B of the Local Government Act 1995, did the CEO remove from the register all records relating to those people?                                                              | Yes |                                                                                                                                                 |
| <b>14</b> | s5.89A(7)                             | Have copies of all records removed from the register under section 5.89A(6) of the Local Government Act 1995 been kept for a period of at least five years after the person ceases to be a person required to make a disclosure?                                             | Yes |                                                                                                                                                 |
| <b>15</b> | s5.70(2) & (3)                        | Where an employee had an interest in any matter in respect of which the employee provided advice or a report directly to council or a committee, did that person disclose the nature and extent of that interest when giving the advice or report?                           | Yes | Confirming employees disclosed interest and if needed left the Chambers. CMRef 83107 28/02/2023, CMRef 83260 17/10/2023, CMRef 83286 11/12/2023 |



|           |                       |                                                                                                                                                                                                                                                                                                                                                 |     |                                                                                                                                             |
|-----------|-----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------------------------------------------------------------------------------------------------------------------------------------------|
| <b>16</b> | s5.71A & s5.71B(5)    | Where council applied to the Minister to allow the CEO to provide advice or a report to which a disclosure under section 5.71A(1) of the Local Government Act 1995 relates, did the application include details of the nature of the interest disclosed and any other information required by the Minister for the purposes of the application? | N/A |                                                                                                                                             |
| <b>17</b> | s5.71B(6) & s5.71B(7) | Was any decision made by the Minister under section 5.71B(6) of the Local Government Act 1995, recorded in the minutes of the council meeting at which the decision was considered?                                                                                                                                                             | N/A |                                                                                                                                             |
| <b>18</b> | s5.104(1)             | Did the local government prepare and adopt, by absolute majority, a code of conduct to be observed by council members, committee members candidates that incorporates the model code of conduct?                                                                                                                                                | Yes | Previously Adopted at April OCM - 20/04/2021, CMRef 82721, and published on the website.                                                    |
| <b>19</b> | s5.104(3) & (4)       | Did the local government adopt additional requirements in addition to the model code of conduct? If yes, does it comply with section 5.104(3) and (4) of the Local Government Act 1995?                                                                                                                                                         | Yes | The prescribed model code of conduct was used to develop the Shire's code of conduct for Council members, committee members and candidates. |
| <b>20</b> | s5.104(7)             | Has the CEO published an up-to-date version of the code of conduct for council members, committee members and candidates on the local government's website?                                                                                                                                                                                     | Yes | Published to Shire website 22/12/2022                                                                                                       |
| <b>21</b> | s5.51A(1) & (3)       | Has the CEO prepared and implemented a code of conduct to be observed by employees of the local government? If yes, has the CEO published an up-to-date version of the code of conduct for employees on the local government's website?                                                                                                         | Yes | Adopted at December OCM - 20/12/2022, CMRef 83073 and posted on the website.                                                                |

| Disposal of Property |           |                                                                                                                                                                                                                                      |          |                                                                                                               |
|----------------------|-----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------------------------------------------------------------------------------------------------------|
| No                   | Reference | Question                                                                                                                                                                                                                             | Response | Comments                                                                                                      |
| <b>1</b>             | s3.58(3)  | Where the local government disposed of property other than by public auction or tender, did it dispose of the property in accordance with section 3.58(3) of the Local Government Act 1995 (unless section 3.58(5) applies)?         | Yes      | Met the requirements as per section 3.58(3). 18/07/2023 CMRef 83204 (Lot 29, McCallum Street, Muntadgin).     |
| <b>2</b>             | s3.58(4)  | Where the local government disposed of property under section 3.58(3) of the Local Government Act 1995, did it provide details, as prescribed by section 3.58(4), in the required local public notice for each disposal of property? | Yes      | Public notices published on 29/06/2023 on: Shire website, public notice board, local newspaper, social media. |



| Elections |                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                           |          |                |
|-----------|-------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------------|
| No        | Reference               | Question                                                                                                                                                                                                                                                                                                                                                                                                                                                  | Response | Comments       |
| 1         | Elect Regs 30G(1) & (2) | Did the CEO establish and maintain an electoral gift register and ensure that all disclosure of gifts forms completed by candidates and donors and received by the CEO were placed on the electoral gift register at the time of receipt by the CEO and in a manner that clearly identifies and distinguishes the forms relating to each candidate in accordance with regulations 30G(1) and 30G(2) of the Local Government (Elections) Regulations 1997? | Yes      | No disclosures |
| 2         | Elect Regs 30G(3) & (4) | Did the CEO remove any disclosure of gifts forms relating to an unsuccessful candidate, or a successful candidate that completed their term of office, from the electoral gift register, and retain those forms separately for a period of at least two years in accordance with regulation 30G(4) of the Local Government (Elections) Regulations 1997?                                                                                                  | Yes      | No disclosures |
| 3         | Elect Regs 30G(5) & (6) | Did the CEO publish an up-to-date version of the electoral gift register on the local government's official website in accordance with regulation 30G(5) of the Local Government (Elections) Regulations 1997?                                                                                                                                                                                                                                            | Yes      | No disclosures |

| Finance |           |                                                                                                                                                                      |          |                        |
|---------|-----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|------------------------|
| No      | Reference | Question                                                                                                                                                             | Response | Comments               |
| 1       | s7.1A     | Has the local government established an audit committee and appointed members by absolute majority in accordance with section 7.1A of the Local Government Act 1995? | Yes      | CMRef 83262 24/10/2023 |
| 2       | s7.1B     | Where the council delegated to its audit committee any powers or duties under Part 7 of the Local Government Act 1995, did it do so by absolute majority?            | N/A      |                        |



|          |                       |                                                                                                                                                                                                                                                                                                                                                                           |     |                                                                                         |
|----------|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----------------------------------------------------------------------------------------|
| <b>3</b> | s7.9(1)               | Was the auditor's report for the financial year ended 30 June 2023 received by the local government by 31 December 2023?                                                                                                                                                                                                                                                  | Yes | Received from Auditors on 15/11/2023. Report went to Council on 11/12/2023, CMRef 83289 |
| <b>4</b> | s7.12A(3)             | Where the local government determined that matters raised in the auditor's report prepared under section 7.9(1) of the Local Government Act 1995 required action to be taken, did the local government ensure that appropriate action was undertaken in respect of those matters?                                                                                         | Yes |                                                                                         |
| <b>5</b> | s7.12A(4)(a) & (4)(b) | Where matters identified as significant were reported in the auditor's report, did the local government prepare a report that stated what action the local government had taken or intended to take with respect to each of those matters? Was a copy of the report given to the Minister within three months of the audit report being received by the local government? | N/A | No significant findings.                                                                |
| <b>6</b> | s7.12A(5)             | Within 14 days after the local government gave a report to the Minister under section 7.12A(4)(b) of the Local Government Act 1995, did the CEO publish a copy of the report on the local government's official website?                                                                                                                                                  | N/A |                                                                                         |
| <b>7</b> | Audit Reg 10(1)       | Was the auditor's report for the financial year ending 30 June 2023 received by the local government within 30 days of completion of the audit?                                                                                                                                                                                                                           | Yes |                                                                                         |

#### Local Government Employees

| <b>No</b> | <b>Reference</b>                  | <b>Question</b>                                                                                                                                 | <b>Response</b> | <b>Comments</b>                                                                                                                                                                                                                                                                                           |
|-----------|-----------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>1</b>  | s5.36(4) & s5.37(3) Admin Reg 18A | Were all CEO and/or senior employee vacancies advertised in accordance with Local Government (Administration) Regulations 1996, regulation 18A? | Yes             | The positions of Executive Manager Strategy & Community, and Executive Manager Engineering Services were advertised. Advertised in The West Australian with all required details, the Shire website, LinkedIn, Local Government Professionals website, and The Australian Local Government Job Directory. |
| <b>2</b>  | Admin Reg 18E                     | Was all information provided in applications for the position of CEO true and accurate?                                                         | N/A             | No advertisement for CEO in 2023                                                                                                                                                                                                                                                                          |



|          |               |                                                                                                                                                                                             |     |                                                                   |
|----------|---------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-------------------------------------------------------------------|
| <b>3</b> | Admin Reg 18F | Was the remuneration and other benefits paid to a CEO on appointment the same remuneration and benefits advertised for the position under section 5.36(4) of the Local Government Act 1995? | Yes | CEO paid within SAT band for band 3 LGA.                          |
| <b>4</b> | s5.37(2)      | Did the CEO inform council of each proposal to employ or dismiss senior employee?                                                                                                           | Yes | Each senior appointment was approved by Council.                  |
| <b>5</b> | s5.37(2)      | Where council rejected a CEO's recommendation to employ or dismiss a senior employee, did it inform the CEO of the reasons for doing so?                                                    | N/A | No recommendations to employ or dismiss were rejected by Council. |

| Official Conduct |                 |                                                                                                                                                                                                             |          |                                                               |
|------------------|-----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------------------------------------------------------|
| No               | Reference       | Question                                                                                                                                                                                                    | Response | Comments                                                      |
| <b>1</b>         | s5.120          | Has the local government designated an employee to be its complaints officer?                                                                                                                               | Yes      | The CEO has been designated to be the complaints officer.     |
| <b>2</b>         | s5.121(1) & (2) | Has the complaints officer for the local government maintained a register of complaints which records all complaints that resulted in a finding under section 5.110(2)(a) of the Local Government Act 1995? | Yes      | No complaints resulting in a finding in the reporting period. |
| <b>3</b>         | s5.121(2)       | Does the complaints register include all information required by section 5.121(2) of the Local Government Act 1995?                                                                                         | Yes      | No complaints resulting in a finding in the reporting period. |
| <b>4</b>         | s5.121(3)       | Has the CEO published an up-to-date version of the register of the complaints on the local government's official website?                                                                                   | Yes      | No complaints resulting in a finding in the reporting period. |

| Tenders for Providing Goods and Services |                      |                                                                                                                                                                                                                                                                                                                                                 |          |          |
|------------------------------------------|----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|
| No                                       | Reference            | Question                                                                                                                                                                                                                                                                                                                                        | Response | Comments |
| <b>1</b>                                 | F&G Reg 11A(1) & (3) | Did the local government comply with its current purchasing policy, adopted under the Local Government (Functions and General) Regulations 1996, regulations 11A(1) and (3) in relation to the supply of goods or services where the consideration under the contract was, or was expected to be, \$250,000 or less or worth \$250,000 or less? | Yes      |          |



|           |                                                  |                                                                                                                                                                                                                                                                                                                                               |     |                                                                                                          |
|-----------|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----------------------------------------------------------------------------------------------------------|
| <b>2</b>  | s3.57 F&G Reg 11                                 | Subject to Local Government (Functions and General) Regulations 1996, regulation 11(2), did the local government invite tenders for all contracts for the supply of goods or services where the consideration under the contract was, or was expected to be, worth more than the consideration stated in regulation 11(1) of the Regulations? | Yes |                                                                                                          |
| <b>3</b>  | F&G Regs 11(1), 12(2), 13, & 14(1), (3), and (4) | When regulations 11(1), 12(2) or 13 of the Local Government Functions and General) Regulations 1996, required tenders to be publicly invited, did the local government invite tenders via Statewide public notice in accordance with Regulation 14(3) and (4)?                                                                                | Yes | The Shire advertised all Tenders in The West Australian.                                                 |
| <b>4</b>  | F&G Reg 12                                       | Did the local government comply with Local Government (Functions and General) Regulations 1996, Regulation 12 when deciding to enter into multiple contracts rather than a single contract?                                                                                                                                                   | N/A |                                                                                                          |
| <b>5</b>  | F&G Reg 14(5)                                    | If the local government sought to vary the information supplied to tenderers, was every reasonable step taken to give each person who sought copies of the tender documents, or each acceptable tenderer notice of the variation?                                                                                                             | Yes | Through the Shire's TenderLink portal all Tenderers were notified and provided with variation documents. |
| <b>6</b>  | F&G Regs 15 & 16                                 | Did the local government's procedure for receiving and opening tenders comply with the requirements of Local Government (Functions and General) Regulations 1996, Regulation 15 and 16?                                                                                                                                                       | Yes |                                                                                                          |
| <b>7</b>  | F&G Reg 17                                       | Did the information recorded in the local government's tender register comply with the requirements of the Local Government (Functions and General) Regulations 1996, Regulation 17 and did the CEO make the tenders register available for public inspection and publish it on the local government's official website?                      | Yes |                                                                                                          |
| <b>8</b>  | F&G Reg 18(1)                                    | Did the local government reject any tenders that were not submitted at the place, and within the time, specified in the invitation to tender?                                                                                                                                                                                                 | Yes |                                                                                                          |
| <b>9</b>  | F&G Reg 18(4)                                    | Were all tenders that were not rejected assessed by the local government via a written evaluation of the extent to which each tender satisfies the criteria for deciding which tender to accept?                                                                                                                                              | Yes |                                                                                                          |
| <b>10</b> | F&G Reg 19                                       | Did the CEO give each tenderer written notice containing particulars of the successful tender or advising that no tender was accepted?                                                                                                                                                                                                        | Yes |                                                                                                          |





|           |                                 |                                                                                                                                                                                                                                                                                                                                                     |     |                  |
|-----------|---------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|------------------|
| <b>11</b> | F&G Regs 21 & 22                | Did the local government's advertising and expression of interest processes comply with the requirements of the Local Government (Functions and General) Regulations 1996, Regulations 21 and 22?                                                                                                                                                   | Yes |                  |
| <b>12</b> | F&G Reg 23(1) & (2)             | Did the local government reject any expressions of interest that were not submitted at the place, and within the time, specified in the notice or that failed to comply with any other requirement specified in the notice?                                                                                                                         | N/A | No EOIs in 2023. |
| <b>13</b> | F&G Reg 23(3) & (4)             | Were all expressions of interest that were not rejected under the Local Government (Functions and General) Regulations 1996, Regulation 23(1) & (2) assessed by the local government? Did the CEO list each person as an acceptable tenderer?                                                                                                       | N/A | No EOIs in 2023. |
| <b>14</b> | F&G Reg 24                      | Did the CEO give each person who submitted an expression of interest a notice in writing of the outcome in accordance with Local Government (Functions and General) Regulations 1996, Regulation 24?                                                                                                                                                | N/A | No EOIs in 2023. |
| <b>15</b> | F&G Regs 24AD(2) & (4) and 24AE | Did the local government invite applicants for a panel of pre-qualified suppliers via Statewide public notice in accordance with Local Government (Functions & General) Regulations 1996 regulations 24AD(4) and 24AE?                                                                                                                              | N/A |                  |
| <b>16</b> | F&G Reg 24AD(6)                 | If the local government sought to vary the information supplied to the panel, was every reasonable step taken to give each person who sought detailed information about the proposed panel or each person who submitted an application notice of the variation?                                                                                     | N/A |                  |
| <b>17</b> | F&G Reg 24AF                    | Did the local government's procedure for receiving and opening applications to join a panel of pre-qualified suppliers comply with the requirements of Local Government (Functions and General) Regulations 1996, Regulation 16, as if the reference in that regulation to a tender were a reference to a pre-qualified supplier panel application? | N/A |                  |
| <b>18</b> | F&G Reg 24AG                    | Did the information recorded in the local government's tender register about panels of pre-qualified suppliers comply with the requirements of Local Government (Functions and General) Regulations 1996, Regulation 24AG?                                                                                                                          | N/A |                  |
| <b>19</b> | F&G Reg 24AH(1)                 | Did the local government reject any applications to join a panel of pre-qualified suppliers that were not submitted at the place, and within the time, specified in the invitation for applications?                                                                                                                                                | N/A |                  |



|           |                    |                                                                                                                                                                                                                 |     |  |
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| <b>20</b> | F&G Reg 24AH(3)    | Were all applications that were not rejected assessed by the local government via a written evaluation of the extent to which each application satisfies the criteria for deciding which application to accept? | N/A |  |
| <b>21</b> | F&G Reg 24AI       | Did the CEO send each applicant written notice advising them of the outcome of their application?                                                                                                               | N/A |  |
| <b>22</b> | F&G Regs 24E & 24F | Where the local government gave regional price preference, did the local government comply with the requirements of Local Government (Functions and General) Regulations 1996, Regulation 24E and 24F?          | Yes |  |

#### Integrated Planning and Reporting

| No       | Reference               | Question                                                                                                                                                                                     | Response | Comments                                  |
|----------|-------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------------------------------------------|
| <b>1</b> | Admin Reg 19C           | Has the local government adopted by absolute majority a strategic community plan?<br>If Yes, please provide the adoption date or the date of the most recent review in the Comments section? | Yes      | 20/04/2021<br><br>CMRef: 82725 20/04/2021 |
| <b>2</b> | Admin Reg 19DA(1) & (4) | Has the local government adopted by absolute majority a corporate business plan?<br>If Yes, please provide the adoption date or the date of the most recent review in the Comments section?  | Yes      | 27/06/2023<br><br>CMRef 83185 27/06/2023  |
| <b>3</b> | Admin Reg 19DA(2) & (3) | Does the corporate business plan comply with the requirements of Local Government (Administration) Regulations 1996 19DA(2) & (3)?                                                           | Yes      |                                           |

#### Optional Questions

| No       | Reference                        | Question                                                                                                                                                                                                                                                                                 | Response | Comments                             |
|----------|----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|--------------------------------------|
| <b>1</b> | Financial Management Reg 5(2)(c) | Did the CEO review the appropriateness and effectiveness of the local government's financial management systems and procedures in accordance with the Local Government (Financial Management) Regulations 1996 regulations 5(2)(c) within the three financial years prior to 31 December | Yes      | 11/12/2023<br>CMRef 83290 11/12/2023 |



|   |                           |                                                                                                                                                                                                                                                                                                                                                                                                           |     |                                                                                                                  |
|---|---------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|------------------------------------------------------------------------------------------------------------------|
|   |                           | 2023?<br>If yes, please provide the date of council's resolution to accept the report.                                                                                                                                                                                                                                                                                                                    |     |                                                                                                                  |
| 2 | Audit Reg 17              | Did the CEO review the appropriateness and effectiveness of the local government's systems and procedures in relation to risk management, internal control and legislative compliance in accordance with Local Government (Audit) Regulations 1996 regulation 17 within the three financial years prior to 31 December 2023?<br>If yes, please provide date of council's resolution to accept the report. | Yes | 20/12/2022<br>The review was completed and the report accepted at the December Ordinary 20/12/22<br>CMRef: 83070 |
| 3 | s5.87C                    | Where a disclosure was made under sections 5.87A or 5.87B of the Local Government Act 1995, were the disclosures made within 10 days after receipt of the gift? Did the disclosure include the information required by section 5.87C of the Act?                                                                                                                                                          | Yes |                                                                                                                  |
| 4 | s5.90A(2) & (5)           | Did the local government prepare, adopt by absolute majority and publish an up-to-date version on the local government's website, a policy dealing with the attendance of council members and the CEO at events?                                                                                                                                                                                          | Yes | Policy was adopted at the December OCM: CMRef 83300 11/12/2023 and placed on the website 18/12/2023.             |
| 5 | s5.96A(1), (2), (3) & (4) | Did the CEO publish information on the local government's website in accordance with sections 5.96A(1), (2), (3), and (4) of the Local Government Act 1995?                                                                                                                                                                                                                                               | Yes |                                                                                                                  |
| 6 | s5.128(1)                 | Did the local government prepare and adopt (by absolute majority) a policy in relation to the continuing professional development of council members?                                                                                                                                                                                                                                                     | Yes | Policy was adopted at the December OCM: CMRef 83300 11/12/2023 and placed on the website 18/12/2023              |
| 7 | s5.127                    | Did the local government prepare a report on the training completed by council members in the 2022/2023 financial year and publish it on the local government's official website by 31 July 2023?                                                                                                                                                                                                         | Yes |                                                                                                                  |
| 8 | s6.4(3)                   | By 30 September 2023, did the local government submit to its auditor the balanced accounts and annual financial report for the year ending 30 June 2023?                                                                                                                                                                                                                                                  | Yes |                                                                                                                  |
| 9 | s.6.2(3)                  | When adopting the annual budget, did the local government take into account all its expenditure, revenue and income?                                                                                                                                                                                                                                                                                      | Yes |                                                                                                                  |



Department of  
**Local Government, Sport  
and Cultural Industries**

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Chief Executive Officer

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Date

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Mayor/President

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Date